

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Stephen P. Vernon et al.	Docket No. :	IL-11011	
Serial No.	:	10 676 876	Art Unit :		
Filed	:	September 30, 2003	Examiner		
For	:	Direct-Patterned Optical Waveguides on Amorphous Silicon Films			

Commissioner for Patents Alexandria, VA

#### **CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the *attached* correspondence comprising:

- 1. Information Disclosure Statement (1 page)
- 2. Form PTO-1449(4) U.S. Patent and (1) Foreign Patent and (10) Other Disclosures
- 3. Express Mail Certificate
- 4. Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents Alexandria, VA 22313-1450

on 10/8/2023

Teresa Walls

(Type or print name of person mailing paper)

(Signature of person mailing paper)





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :

Stephen P. Vernon et al

Docket No.

IL-11011

Customer No: 24981

Serial No.: 10 676 876

Art Unit

Filed

: September 30, 2003

Examiner

For

Direct-Patterned Optical Waveguides on Amorphous

Silicon Films

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

Forwarded herewith is an Information Disclosure Statement, Form-1449, in the above-identified application. Copy of the cited reference is enclosed.

Respectfully submitted,

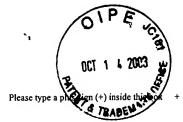
James S. Tak

Agent for Applicants Registration No. 46,367

Dated: 10-7-03

Enclosure:

As noted above



10676876

PTO/SB/08A (08-00)

Approved for use through 10/31/202. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Complete if Known		
Substitute for form 1449A/PTO	Application Number		
INFORMATION DISCLOSURE	Filing Date	9/30/2003	
STATEMENT BY APPLICANT	First Named Inventor	Stephen P. Vernon et al	
(use as many sheets as necessary)	Group Art Unit		
	Examiner Name		
Sheet 1 of 2	Attorney Docket Number	IL-11011	

					J.S. PATENT DOC	MENT	'S			
Examiner Initials*	Cite No. <sup>1</sup>	No.1 Number Kind		ment ind Code <sup>2</sup> f known)	Name of Patentee or Applicant of Cited Document		Date of Publication of Cited Document MM-DD-YYYY		Pages, Columns, Line Where Relevant Passag Relevant Figures App	es or
		5,841	,931		Foresi et al		Nov. 24, 1998			
		5,354	,709		Joseph P. Lorenzo	et al	Oct. 11, 1994			
		5,946	,562		Yue Kuo		Aug. 31, 1999			
		62040	99	B1	Kusumoto et al		March 20, 2001			
								· · ·		
						<u>.</u>				
										· ·
		-		FOI	REIGN PATENT DO			****		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Name of Patentee of Applicant of Cited Document		ate of Publication of Cited Document MM-DD-YYYY	Releva	s, Columns, Lines, Where ant Passages or ant Figures Appear	T°
		EP	0567051	B1	Kazuo, Eda	04	/20/1993			
										-
										$\vdash$
Examiner Signature	i i				Date Conside	red		L		1.

not in conformance and not considered. Include copy of this form with next communication to applicant. \*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number

Filing Date 9/30/2003

First Named Inventor Stephen P. Vernon

Group Art Unit

) inside this box

Please type a plus sig

| IL-| 2 | Examiner Name | IL-11011

# OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPI' magazine, journal, serial, symposium	TAL LETTERS), title of the article , catalog, etc.), date, page(s), vol country where published.	title of the article (when appropriate), title of the item (book, date, page(s), volume-issue number(s), publisher, city and/or where published.			
		KJARTAN FAERCH et al	Symmetrical Waveguide Devices Fabricated by Direct UV Writing	IEEEE Photoonics Technology Letters., Vol. 14, No. 2 February 2002			
- /-		C.M. FORTMANN et al	Hot-wire deposition of photonic-grade amorphous silicon	Thin Solid Films 395 (2001) 142-146			
		GIUSEPPE COCORULLO et al	Amorphous Silicon-Based Guided-Wave Passive and Active Devices for Silicon Integrated Optoelectronics	IEEE Journal of Selected Topics in Quantum Electronics, Vol. 4, No. 6, Nov/Dec 1998			
		MICHAEL O. THOMPSON et al	Melting Temperature and Explosive Crystallization of Amorphous Silicon during Pulsed Laser Irradiation	1984 The American Physical Society Physical Review Letters Vol. 52, Number 26 June 25, 1984 pages 2360-2364			
		OSAMU HANAIZUMI et al	Propagation of light beams along line defects formed in a-Si/SiO2	1999 American Institute of Physics Applied Physics Letters Volume 74, Number 6 pages 777-779			
		S.R. STIFFLER et al	Supercooling and Nucleation of Silicon after Laser Melting	1988 The American Physical Society Physical Review Letters Vol. 60, Number 24, June 1988 pages2523			

THE STATE OF THE S	و المحادث		1
1,6	Hotels 17 et al	New Excimer-laser-	Appl Phys. Lett 68 (11) March 11, 1996
		crystallization method for	Pages 1513-1515
		producing large-grained	
		and grain boundary-	
		location-controlled Si	
1		films for thin film	
		transistors	
	MINGHONG LEE et al	Relationship between	Journal of Applied Physics Vol. 88
		fluence gradient and	Number 9 November 1 2000
		lateral grain growth in	
		spatially controlled	
		excimer laser	
Ì		crystallization of	
		amorphous silicon films	
	HIROKI TAKAHASHI et al	Influence of Ar impurities	Journal of Materials Research Vol 12,
		on optical refractive index of sputter deposited a-Si	No. 7 July 1997
		films	110. / July 1221
	M.H.BRODSKY et al	Structural, Optical, and	Physical Review B Volume 1, Number
		Electrical Properties of	6 March 15, 1970
		Amorphous Silicon Films	
		Date	
Examiner Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. Applicant is to place a check mark here if English language Translation is attached. SEND TO: Commissioner for Patents, Washington, DC 20231